

End of Year & Annual Benefit Statements

Highlight Report – EOY & Annual Benefit Statements – Project Phase: In close down

Date of Report:	2 nd September 2019	Upcoming Milestones		
Project Lead	Tom Lewis	Item	Due (* = estimated)	Status
Prepared by:	Andrew Marson	First active and Councillor run Deferred statements sent for distribution Members comms for Actives Final ABS run and reconciliation	End of June	Complete
Project RAG Status	Amber		7 th Aug	Complete
Project Scope			12 th August	Complete
To actively manage and monitor all employers associated with ESPF to submit their organisations end of year pay information by the set deadline of 30 th April 2019 as part of the LGPS. As part of the end of year process, the Annual Benefit Statements are to be produced by the regulatory deadline of 31 st August 2019.			30 th August	Complete

Project Summary

Scheme	Total Active Records	Records with a 2019 ABS	Records Missing a 2019 ABS	Records with an Estimated Pay Statement	Total Deferred Records	Deferred ABS printed and distributed	ABS not produced due to "gone away"
East Sussex Pension Fund	21,574	21,316 (98.8%)	258 (1.2%)	0 (zero)	31,716	20,712 (65.3%)	11,004 (34.7%)

The accounts published figures of Actives at 23,646 and Deferred at 30,916. At time of submission, the PAT are bridging the membership movement between the two sets of figures.

As a result of the Call Credit tracing exercise done some time ago, and before the introduction of GDPR, a decision was made to mark deferred member records where the Call Credit traced address was different to the address held on system. This appears to be a prudent action as there would have been risk of sending ABS to (potentially) out of date addresses. This significantly increased the gone away population from the previously reported figure of 7,200 in 2018.

This month we will be commencing the tracing exercise we discussed earlier this year in order to baseline our deferred address status and an input into our Data Improvement Plan discussions.

Key Risks & Issues

Item	RAG	Detail	Action/Update
Unresolved queries by employers		As per the schedule above, there are employers who have not yet returned the answers to queries. Fund Officers are assessing next steps on resolution and regulatory breach.	Engagement between PAT, Fund Officers and employers has been extensive, pro-active, and frequent in order to try and resolve as many queries as possible in time for ABS.